529 Rec'd PCT/PTC 12 OCT 2000 09/673204

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box: PCT

Assistant Commissioner for Patents

Washington, D.C. 20231

Re:

Our file:

7616/21/1

Examiner:

Applicant:

Sturm, et al.

Group Art Unit:

Serial No.: Filing Date: PCT/US99/07970

13 April 1999

Title:

Modification of Polymer Optoelectronic Properties After Film Transition

by Impurity Addition or Removal

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

1.	Transmittal Letter to the United States Designated/Elected Office

- (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371
- Copy of PCT International Application with Figures 2.
- 3. Copy of International Search Report
- **Small Entity Statement** 4.
- Transmittal Sheet 5.
- 6. Postcard Receipt

CONDITIONAL PETITION

If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor. Please charge any additional charges or any other charges relating to this matter to deposit account of the writer, Account No. **06-2143.** A duplicate copy of this letter is enclosed.

enc.

Respectfully submitted.

Registration No. 33,884

Wolff & Samson

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I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, as "Express Mail Post Office to Addressee," Mailing Label No. EL548970643US to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231 on

Michael R. Friscia

ORM REV I			OF COMMERCE PATENT AND TRADEMARK OFFICE O THE UNITED STATES	7			
TRANSMITTAL ELTIDATO THE UNITED STATES							
DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (IF KNOWN, SEE 3)							
CONCERNING A FILING UNDER 35 U.S.C. 371 09/673204							
NTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US99/07970 12 April 1999				PRIORITY DATE CLAIMED 13 April 1998			
TITLE OF INVENTION							
Modification of Polymer Optoelectronic Properties After Film Transition by Impurity Addition or Removal							
APPLICANT(S) FOR DO/EO/US							
Sturm, et al.							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. 2.	⊠	This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.					
3.	×						
٥.		examination until the expiration of the applicable time limit set in 35 U.S.C. 371(1) at any time rather than delay					
4.	\boxtimes	A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.					
5.	\boxtimes	A copy of the International Application as filed (35 U.S.C. 371 (c) (2))					
		a. 🛮 is transmitted herewith (required only if not transmitted by the International Bureau).					
=		· ·	the International Bureau.				
	_	c. is not required, as the application was filed in the United States Receiving Office (RO/US).					
6. L		A translation of the International Application into English (35 U.S.C. 371(c)(2)).					
7. 4	×						
	×						
J	 a. □ are transmitted herewith (required only if not transmitted by the International Bureau). b. □ have been transmitted by the International Bureau. c. □ have not been made; however, the time limit for making such amendments has NOT expired. 						
F							
7		d. \(\times \) have not been made and will not be made.					
9.		A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).					
10.		`````					
-		A copy of the International Preliminary Examination Report (PCT/IPEA/409).					
∏1. ₹2.		A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).					
Items 13 to 20 below concern document(s) or information included:							
13.		_					
14.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.					
15.		A FIRST preliminary amendment.					
16.		A SECOND or SUBSEQUENT preliminary amendment.					
17.		A substitute specification.					
18.		A change of power of attorney and/or address letter.					
19.	×	Certificate of Mailing by Express Mail					
20.	\boxtimes	Other items or information:					
		Small Entity Statement					
				91			

529 Rec'd PCT/PTC 12 OCT 2000 U.S. APPLICATION NO. (IF KNOWN, SEF INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US99/07970 7616/21 21. The following fees are submitted:. CALCULATIONS PTO USE ONLY BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO \$860,00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = \$690.00 Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$130.00 **CLAIMS** NUMBER FILED NUMBER EXTRA **RATE** \$18.00 Total claims 36 -20 =16 \$288.00 6 - 3 = 3 \$78.00 \$234.00 Independent claims Multiple Dependent Claims (check if applicable). \$0.00 TOTAL OF ABOVE CALCULATIONS \$1,342.00 Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). X \$671.00 **SUBTOTAL** \$671.00 Processing fee of \$130.00 for furnishing the English translation later than □ 20 nonths from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00 TOTAL NATIONAL FEE = \$671.00 Eee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). \$0.00 TOTAL FEES ENCLOSED = \$671.00 Amount to be: refunded \$ \$ charged A check in the amount of to cover the above fees is enclosed. Please charge my Deposit Account No. 06-2143 in the amount of \$671.00 to cover the above fees. A duplicate copy of this sheet is enclosed. \boxtimes The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 06-2143 A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Michael R. Friscia SIGNATURE Registration No. 33,884 Wolff & Samson Michael R. Friscia 5 Becker Farm Road **NAME** Roseland, NJ 07068-1776 Tel: (973) 533-6599 33,884 Fax: (973) 436-4499 REGISTRATION NUMBER 00 DATE